

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vinginia 22313-1450 www.usptu.gov

PCT/EP03/04031

JOK 11CHS1

ATTY. DOCKET NO. FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO. 5942 - 83615 Martin Purpura 10/511,884 INTERNATIONAL APPLICATION NO.

DOCKETED

LA. FILING DATE

PRIORITY DATE

04/17/2003

04/19/2002

22242 FITCH EVEN TABIN AND FLANNERY 120 SOUTH LA SALLE STREET **SUITE 1600** CHICAGO, IL 60603-3406

APR 2 1 2005

CONFIRMATION NO. 4211 371 FORMALITIES LETTER *OC000000015731038*

OC00000015731038

Date Mailed: 04/14/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

Due 6/14/05

- Copy of the International Application filed on 10/19/2004
- Copy of the International Search Report filed on 10/19/2004
- Copy of IPE Report filed on 10/19/2004
- Copy of Annexes to the IPER filed on 10/19/2004
- Preliminary Amendments filed on 10/19/2004
- Oath or Declaration filed on 10/19/2004
- Copy of references cited in ISR filed on 10/19/2004
- U.S. Basic National Fees filed on 10/19/2004
- Priority Documents filed on 10/19/2004

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

◆ \$130 Late oath or declaration charge

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.							
10/511,884	PCT/EP03/04031	83615							

FORM PCT/DO/EO/905 (371 Formalities Notice)

	JC10 Rec'd PCT/PTO 04 MAY 2005									
PTO-1390 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECT OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			ATTORNEY DOCKET NUMBER 0.315 U.S. CATION NO. (if known, see 37 CFR 1.5) 10/511,884							
	ATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE April 17, 2003	PRIORITY DATE CLAIMED April 19, 2002							
TITLE OF	FINVENTION	PHOSPHOLIPID-CONTAINING, STABLE AND								
APPLICANT(S) FOR DO/EO/US Purpura et al.										
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
1. □ 2. <u>⊠</u> 3. □	This is a SECOND or SUBSEQUE This express request to begin nation	ns concerning a filing under 35 U.S.C. 371. INT submission of items concerning a filing under the same on the same of the sam)). The submission must include items (5),							
4. 0	The US has been elected (Article 3	•								
5. <u>n</u>	□ A copy of the International Application as filed (35 U.S.C. 371(c)(2)). a. □ is attached hereto (required only if not communicated by the International Bureau). b. □ has been communicated by the International Bureau. c. □ is not required, as the application was filed in the United States Receiving Office (RO/US).									
6. □	a. is attached hereto.	the International Application as filed (35 U.S.C	C. 371(c)(2)).							
7. <u> </u>		nitted under 35 U.S.C. 154(d)(4).	(35.11.5.0, 371(a)(3))							
7. <u>u</u>	a. are attached hereto (requestion in the state of the	c. 🔻 have not been made; however, the time limit for making such amendments has NOT expired.								
8. □ 9. ⊠ 10. □	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). Declaration Sheet (iv) of Form PCT/RO/101									
Items 11. to 20. below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98, PTO/SB/08A Substitute for PTO-Form 1449 and references as indicated. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A Supplemental Preliminary Amendment. (5 pages) 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13 <i>ter.</i> 2 and 37 CFR 1.821-1.825. 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 371 In the United States Designated/Elected Office dated 4/14/05.										
	Designate a Licette a Gine a date a									
CERTIFICATE OF MAILING BY "EXPRESS MAIL"										
		MAY 0 4 2005 see is being deposited with sufficient postage utilizing the Units under 37 CFR §1.10 on the date indicated above and is addressed.								
	(Typed or printed name of person mailing)									
(Signature of person mailing)										
		,	•							

U.S. APPLICATION N 10/51 1,884	D. (if known, see 37 CFR 1.5)		TIONAL APPLICATION NO. T/EP03/04031	•	ATTO	NEY DOCKET NUMBER 3615		
17. The follow	ving fees are submitted		•			CALCULATION	NS PTO USE ONLY	
BASIC N	ATIONAL FEE (37 CFF	R 1.492(a)(1)	-(5)):					
Neither in	Neither international preliminary examination fee (37 CFR 1.482) nor							
international search fee (37 CFR 1.445(a)(2)) paid to USPTO and								
Internatio	nal Search Report not p	repared by t	he EPO or JPO		\$1110.00	· !		
Internatio	International preliminary examination fee (37 CFR 1.482) not paid to							
USPTO but International Search Report prepared by the EPO or JPO \$ 950.00								
Internatio	International preliminary examination fee (37 CFR 1.482) not paid to							
USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ 790.00								
Internatio but all cla	International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$ 750.00							
Internatio and all cla	nal preliminary examina ilms satisfied provision	ation fee (37 s of PCT Arti	CFR 1.482) paid to US cle 33(1)-(4)	РТО	\$ 100.00			
		ENTE	R APPROPRIATE BAS	IC F	EE AMOUNT =	\$ 0.00		
	0.00 for furnishing the claimed priority date (37		ration later than 30 mor e)).	nths		\$ 130.00		
CLAIMS	NUMBER FILED		NUMBER EXTRA		RATE			
Total claims	19	- 20 =	0	х	\$18.00	\$ 0.00		
Independent Clai	ns 1	- 3 =	0	х	\$88.00	\$ 0.00		
MULTIPLE DEPE	NDENT CLAIM(S) (if a	oplicable)	-		\$300.00	\$		
			TOTAL OF ABOVE	CALC	CULATIONS =	\$ 130.00		
Applicant(s) assert entitlement to Small Entity Status (37 CFR 1.27), thus reducing the filing fee by half to:					\$ 65.00			
			- ·-		SUBTOTAL =	\$ 65.00	-	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$			
	_ 		TOTAL	NAT	IONAL FEE =	\$ 65.00		
			1.21(h)). The assignme 3.28, 3.31). \$40.00 pe			\$ 40.00		
		•	TOTAL FI	EES	ENCLOSED =	\$ 105.00	· -	
/11/2005 SWATARRO 00000004 061125 10511004					Refunded	\$		
FC:8021	0.00 DA		,	OMA	UNT TO BE:	Charged	1 \$	
18. □ A check in the amount of \$ to cover the above fees is enclosed. 19. 図 Please charge my Deposit Account No. 06-1135 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. (<i>Trans. Nos. 22190 and 22191</i>).								
20. End The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1135. A duplicate copy of this sheet is enclosed.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.								
SEND ALL CORF	ESPONDENCE TO:				1	Hap C		
FITCH, EVE	I, TABIN & FLANNERY	,			/	NJOJE		
120 South LaSalle Street, Suite 1600								
Chianna II COCOO 040C II C A					amples			
Talanka (240) 577 7000								
								
Facsimile: (312) 577-7007 REGISTRATION NUMBER								

05/11/2005 SNAJARRO C0000084 061135 10511884

01 FC:2617 65.00 DA